## **EAST Search History**

| Ref # | Hits | Search Query   | DBs   | Default<br>Operator | Plurals | Time Stamp          |
|-------|------|--|---|---------------------|---------|---------------------|
| L1    | 1    | ("20010042036").PN.  | US-PGPUB;<br>USPAT; USOCR   | OR                  | OFF     | 2009/07/13<br>13:43 |
| L2    | 1    | 1 and (computer server)  | US-PGPUB;<br>USPAT;<br>USOCR; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | ON      | 2009/07/13<br>13:43 |
| L3    | 1    | 1 and (computer server network equipment hardware)   | US-PGPUB;<br>USPAT;<br>USOCR; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | ON      | 2009/07/13<br>13:46 |
| L4    | 1    | 1 and (computer server network equipment hardware log connect)   | US-PGPUB;<br>USPAT;<br>USOCR; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | ON      | 2009/07/13<br>13:46 |
| L5    | 1    | 1 and (computer server network equipment hardware log connect interface)   | US-PGPUB;<br>USPAT;<br>USOCR; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | ON      | 2009/07/13<br>13:48 |
| L6    | 1298 | portfolio and margin and risk  | US-PGPUB;<br>USPAT;<br>DERWENT                                    | OR                  | ON      | 2009/07/13<br>14:10 |
| L7    | 4177 | (user client customer buyer) with (risk riskiness aggressive aggressiveness) with(level amount characteristic)                               | US-PGPUB;<br>USPAT;<br>DERWENT                                    | OR                  | ON      | 2009/07/13<br>14:11 |
| L8    | 205  | 6 and 7  | US-PGPUB;<br>USPAT;<br>DERWENT                                    | OR                  | ON      | 2009/07/13<br>14:12 |
| L9    | 72   | ((user client customer<br>buyer) with (risk riskiness<br>aggressive<br>aggressiveness) with(level<br>amount characteristic) )<br>same margin | US-PGPUB;<br>USPAT;<br>DERWENT                                    | OR                  | ON      | 2009/07/13<br>14:12 |

| L10 | 24   | ((((user client customer<br>buyer) with (risk riskiness<br>aggressive<br>aggressiveness) with(level<br>amount characteristic) )<br>same margin) and<br>portfolio | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2009/07/13<br>14:13 |
|-----|------|--|--------------------------------|----|-----|---------------------|
| S1  | 1    | ("20010042036").PN.  | US-PGPUB;<br>USPAT; USOCR      | OR | OFF | 2008/01/07<br>11:04 |
| S2  | 1    | S1 and margin  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/01/07<br>11:09 |
| S3  | 1    | S1 and (margin risk)   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/01/07<br>11:11 |
| S4  | 1    | S1 and (margin risk short)   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/01/07<br>11:16 |
| S5  | 947  | portfolio and margin and risk  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/01/07<br>11:17 |
| S6  | 42   | portfolio and ((buy<br>purchase buying<br>purchasing bought<br>purchased)adj margin)<br>and risk   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/01/07<br>11:18 |
| S7  | 7    | portfolio same ((buy<br>purchase buying<br>purchasing bought<br>purchased)adj margin)<br>same risk   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/01/07<br>13:09 |
| S8  | 1870 | "5" and (risk adj<br>(preference tolerance<br>profile))  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/01/07<br>13:14 |
| S9  | 947  | portfolio and margin and<br>risk   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/01/07<br>13:14 |
| S10 | 201  | S9 and (risk adj<br>(preference tolerance<br>profile))   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/01/07<br>13:15 |
| S11 | 201  | S10 and (risk adj<br>(preference tolerance<br>profile))  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/01/07<br>13:15 |
| S12 | 42   | portfolio and ((buy<br>purchase buying<br>purchasing bought<br>purchased)adj margin)<br>and risk   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/01/07<br>13:15 |
| S13 | 1    | S12 and (risk adj<br>(preference tolerance<br>profile))  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/01/07<br>13:17 |

| S14 | 1     | ("6078904").PN.  | USPAT; USOCR                   | OR | OFF | 2008/01/07<br>13:17 |
|-----|-------|--|--------------------------------|----|-----|---------------------|
| S15 | 2     | (US-6064985-\$ or US-6078904-\$).did.  | USPAT                          | OR | ON  | 2008/01/07<br>13:21 |
| S16 | 2     | S15 and borrowed   | USPAT                          | OR | ON  | 2008/01/07<br>13:22 |
| S17 | 3     | (US-20010042036-\$).did.<br>or (US-6078904-\$ or US-<br>6064985-\$).did.   | US-PGPUB;<br>USPAT             | OR | ON  | 2008/05/19<br>12:26 |
| S18 | 43    | portfolio and ((buy<br>purchase buying<br>purchasing bought<br>purchased)adj margin)<br>and risk   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/05/20<br>15:07 |
| S19 | 43    | portfolio and ((buy<br>purchase buying<br>purchasing bought<br>purchased)adj margin)<br>and risk   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/05/20<br>15:07 |
| S20 | 1     | S19 and (risk adj<br>(preference tolerance<br>profile))  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/05/20<br>15:07 |
| S21 | 30444 | margin and risk  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/12/23<br>11:29 |
| S22 | 4263  | margin same risk   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/12/23<br>11:29 |
| S23 | 1941  | margin with risk   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/12/23<br>11:29 |
| S24 | 5123  | margin with (risk riskiness exposure)  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/12/23<br>11:31 |
| S25 | 216   | ((margin borrow<br>borrowing short) near3<br>(buy purchase buying<br>purchasing bought<br>purchased trade trading<br>traded)) with (risk<br>riskiness exposure loss<br>losses) | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/12/23<br>11:33 |
| S26 | 648   | (meeting matching reaching meet match reach met matched reached hit hitting) near5 ((risk riskiness exposure) near3 (goal target limit))                                       | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON  | 2008/12/23<br>12:34 |

| S27 | 315   | (meeting matching reaching meet match reach met matched reached hit hitting) near5 ((risk riskiness exposure) near3 (goal target))                             | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/23<br>12:34 |
|-----|-------|--|--------------------------------|----|----|---------------------|
| S28 | 38    | \$27 and financial and<br>@ad<"20040101"   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/23<br>12:35 |
| S29 | 3     | S25 and S28  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/23<br>12:42 |
| S30 | 0     | ((substitute substitution<br>substituting)adj (portfolio))<br>near4 (stock instrument<br>security)   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>10:19 |
| S31 | 10    | ((substitute substitution<br>substituting)near4<br>(portfolio))near4 (stock<br>instrument security)  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>10:20 |
| S32 | 59    | portfolio near4 (single adj<br>(stock instrument<br>security))   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>10:21 |
| S33 | 558   | portfolio and margin and<br>risk and @ad<"20040101"  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>13:11 |
| S34 | 169   | (buying purchasing buy<br>purchase bought<br>purchased)with(match<br>meet hit matching<br>meeting hitting)with (risk<br>riskiness exposure)                    | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>13:13 |
| S35 | 20    | S34 and S33  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>13:13 |
| S36 | 39943 | "169" and (financial stock securities)   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>13:21 |
| S37 | 145   | S34 and (financial stock securities)   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>13:21 |
| S38 | 8     | (US-20010042036-\$ or US-20020174056-\$ or US-20040064394-\$).did. or (US-6078904-\$ or US-6064985-\$ or US-5999918-\$ or US-6684190-\$ or US-5802501-\$).did. | US-PGPUB;<br>USPAT             | OR | ON | 2008/12/24<br>13:31 |

| S39 | 6     | portfolio and S38  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>13:31 |
|-----|-------|--|--------------------------------|----|----|---------------------|
| S40 | 4     | (leverage leveraged<br>leveraging) and S38   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>14:12 |
| S41 | 7     | (computer) and S38   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>14:34 |
| S42 | 8     | (computer computing processor memory) and \$38   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>14:37 |
| S43 | 8     | (computer computing processor memory interface) and \$38   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>14:38 |
| S44 | 6     | (graph graphic slider dial<br>bar) and S38   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>14:45 |
| S45 | 7     | (graph graphic slider dial<br>bar html) and S38  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>14:51 |
| S46 | 8     | (feed input data) and S38  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>15:00 |
| S47 | 764   | (calculate determine find calculating determining finding calculated determined found calculation determination) with ((risk riskiness exposure) with portfolio)         | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>15:12 |
| S48 | 5     | S38 and S47  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/24<br>15:12 |
| S49 | 54222 | (determine calculate find determining calculating finding determined calculated found determination calculation) near3(risk riskiness exposure aggresiveness aggressive) | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/29<br>10:12 |
| S50 | 26005 | (compare comparing comparison compared matched match matching hit hitting target targeted targeting)near3(risk riskiness exposure aggresiveness aggressive)              | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/29<br>10:13 |

| S51 | 2518  | S49 same S50  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/29<br>10:15  |
|-----|-------|---|--------------------------------|----|----|----------------------|
| S52 | 181   | (S49 same S50) and financial and @ad<"20040101"   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/29<br>10:15  |
| S53 | 54222 | (determine calculate find determining calculating finding determined calculated found determination calculation) near3(risk riskiness exposure aggresiveness aggressive)  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/29<br>12:50  |
| S54 | 26005 | (compare comparing comparison compared matched match matching hit hitting target targeted targeting) near3 (risk riskiness exposure aggresiveness aggressive)   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/29<br>12:50  |
| S55 | 36    | (S53 same S54 same (invest investing invested investment stock equity security fund)) and financial and @ad<"20040101"  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/29<br>12:50  |
| S56 | 289   | wallman.in.   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/29<br>15:38  |
| S57 | 2063  | 705/36R.ccls.   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/29<br>15:39  |
| S58 | 16    | S56 and S57   | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/29<br>15:39  |
| S59 | 11    | S56 and S57 and margin  | US-PGPUB;<br>USPAT;<br>DERWENT | OR | ON | 2008/12/29<br>15:41  |
| S60 | 11    | (US-20010042036-\$ or US-20020174056-\$ or US-20040064394-\$ or US-20030088489-\$ or US-20020198809-\$ or US-20020198821-\$).did. or (US-6078904-\$ or US-6064985-\$ or US-5999918-\$ or US-6684190-\$ or US-5802501-\$).did. | US-PGPUB;<br>USPAT             | OR | ON | 2009/07/09<br>115:43 |

| S61 | 11                                       | S60 and (computer | US-PGPUB;   | OR | ON | 2009/07/09 |
|-----|--|-------------------|-------------|----|----|------------|
|     |  | server)           | USPAT;      |    |    | 15:43      |
|     | ann ann an |                   | USOCR; EPO; |    |    |            |
|     |  |                   | JPO;        |    |    |            |
|     |  |                   | DERWENT;    |    |    |            |
|     |  |                   | IBM_TDB     |    |    |            |

7/13/2009 2:19:00 PM

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